



INVENTION RECORD

The identification of exploitable technology arising from research carried out within the Trust is an important aspect of research management. In order both to determine the facts relating to an innovation/invention, design or copyrightable material (e.g. computer software), and to protect the rights of all members of staff and others who may be involved, it is important that a statement of inventorship is lodged with the Trust. The Innovation/Invention Record serves an additional purpose of establishing an independent reference point in support of laboratory notebooks as to when an innovation/invention was made.

Information provided on this form may be used as a basis of a statement of inventorship in respect of a patent application. In some instances, incorrect information may lead to the patent not being granted or being declared invalid. The information given will be treated as confidential and will be used only for assessing and seeking protection of the disclosed invention and its future exploitation.

Protecting any innovation/invention can be costly and the Trust will need to evaluate each innovation/invention carefully before establishing a protection strategy. The initial stage will be to conduct a patent search (if appropriate) and to do some initial market research. The Trust will keep the originators of the innovation/invention informed and involved at each stage in the process and will as far as possible take into account all relevant factors when taking any decision relating to this innovation/invention.

Title of Invention

Inventor(s)

When completed, please return this form to:

Confidential		
No.		
Date received	/	/

Personnel involved in innovation/invention

Name		
Address		
Nationality		
Department		
Employer		
Position		
Tel / fax no.		
e-mail address		
Status*		

Name		
Address		
Nationality		
Department		
Employer		
Position		
Tel / fax no.		
e-mail address		
Status*		

* Please indicate status e.g. inventor, University staff, Trust staff, student, collaborator (more than one category may apply). N.B. Add duplicate sheets if further space is required.

Note: An inventor is a person who has made an intellectual contribution to the inventive step(s) involved in the creation of the invention described in the invention record. Staff and students working under supervision and not making a positive intellectual/creative contribution to the inventive step are unlikely to be inventors. True inventorship may be determined at a later stage, with the help of the R&D Directorate and/or patent agents.

Date, place of innovation/invention & inventor

a) was conceived

Date

Place

Name(s)

b) reduced to practice

Date

Place

Name(s)

Field of Invention

Field of Invention

What does your invention relate to? (e.g. my invention is related to lifting patients; is related to haemodialysis etc)

Background of the Invention

What problem is solved by your invention? (If more than one problem, give details of each.)

What solutions are currently available?

Why is your solution an improvement?

Include any papers etc that relate to your invention or to its general field.

Inventive Step

General statement of what you think is the Inventive Concept:

Describe your invention in general terms i.e. what is unique about your invention?

Full Description of Invention

Describe how your invention is made and how it is used. (Give enough detail to enable a third party to build and use your invention.) Include any diagrams and drawings and, if relevant, experimental details. Attach typed A4 pages, marked confidential at the top of each page, if appropriate. (No. of pages attached _____)

This information will help in briefing a patent agent if appropriate.

Describe any variants of your invention that could be developed.

Potential Commercial Use

List all possible applications of your invention. (Including industrial, commercial and educational applications.)

If you have considered any organisations that may be interested in exploiting your invention commercially, please give their details below and, if possible, give your reasons for their potential interest.

Prior Art

Have any details of the invention been disclosed or published?
If yes, give details, indicate date of disclosure/publication and attach a copy of the publication. Was a confidential or Non-disclosure agreement in place? If yes, attach a copy.

Please indicate any intended publication or disclosure including any of the following: details in any thesis submitted in respect of a degree or other qualification at a

University or any other academic or qualifying institution; conference papers; seminar contributions; correspondence; e-mails; poster presentations, any other disclosures e.g. to an industrial or commercial company. If any of these have been accepted for publication, please indicate approximate date publication may occur.

Please give details of any inventions or products which are similar or related to your invention and, where available, copies of any publicly available information about them including any patent information. Please list all databases which you have searched.

External Funding

Please give details of **ALL** external funding which has been used in connection with this or related work, including grants, contracts, studentships etc.

Trust/NHSE/ D of H (<i>name</i>)
Research Council (<i>name</i>)
Charitable Foundation (<i>name</i>)
Industry (<i>name of company</i>)
European Commission (<i>programme</i>)
Other Funding Body (<i>name</i>)
Trust/University Reference No.
Title of Project
Amount of Funds Provided
Type of Funding (e.g. LINK)
Commencement and Termination Dates
Grant Holder

External Collaboration

Has any of this work leading to the innovation/invention been carried out elsewhere or in conjunction with another organisation? (Please tick as appropriate)

- Another academic institution/NHS Trust
- Previous employment
- Industrial collaborator
- Other

Please give details

I/We agree to co-operate with the Trust in seeking patent or other legal protection in the name of the Trust and in any exploitation of this invention either through licensing to a new party or through the creation of a new business. To the best of my knowledge the information given above is complete and correct and I have not withheld any relevant information.

Inventor	(i)	(ii)	(iii)
Signed			
Dated			

(This form should be signed by all those named as inventors)

Head of Department/Unit:

Signature Date

R&D Manager:

Signature Date